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PTO/SB/21 (08-00)

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	09/821,473	
	<b>Filing Date</b>	March 29, 2001	
	<b>First Named Inventor</b>	Shunpei YAMAZAKI, et al.	
	<b>Group Art Unit</b>	2823	
	<b>Examiner Name</b>	Hsien-Ming Lee	
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b>	SEL 137 DIV

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply - Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):  - Return Postcard
<b>Remarks</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Stephen B. Heller
Signature	<i>Stephen B. Heller</i>
Date	January 15, 2002

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>January 15, 2002</u>			
Typed or printed name	Christine A. Barglik		
Signature	<i>Christine A. Barglik</i>	Date	January 15, 2002

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 126.00

## Complete if Known

Application Number 09/821,473  
Filing Date March 29, 2001  
First Named Inventor Shunpei YAMAZAKI, et al.  
Examiner Name Hsien-Ming Lee  
Group Art Unit 2823  
Attorney Docket No. SEL 137 DIV

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number 50/1039  
Deposit Account Name Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd.
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:  
☒ Check ☐ Credit card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)

#### 2. EXTRA CLAIM FEES

Total Claims 27 ☒ ☒ ☒ ☒ 7 x 18.00 = 126.00  
Independent Claims 3 ☒ ☒ ☒ ☒ 0 x 84.00 = 0.00  
Multiple Dependent ☐ ☐ ☐ ☐ =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 126.00

\*for number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

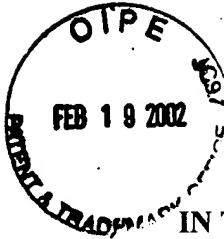
SUBTOTAL (3) (\$)

### SUBMITTED BY

Name (Print/Type)	Stephen B. Heller	Registration No. (Attorney/Agent)	30,181	Telephone	(312) 236-8500
Signature	<i>Stephen B. Heller</i>	Date	January 15, 2002		

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PATENT  
Attorney Docket No. SEL 137 DIV. 3/7/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Shunpei YAMAZAKI, et al.

Serial No.: 09/821,473

Filed: March 29, 2001

Examiner: Hsien-Ming Lee

Art Unit: 2823

For: SEMICONDUCTOR DEVICE PROVIDED  
WITH SEMICONDUCTOR CIRCUIT MADE OF  
SEMICONDUCTOR ELEMENT AND METHOD  
OF THE SAME

Commissioner for Patents  
Washington, D.C. 20231

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) Christine A. Barglik  
) Christine A. Barglik

) Date: January 15, 2002

AMENDMENT

Dear Sir:

In response to the Office Action mailed October 17, 2001, please amend the above-identified patent application as follows.

IN THE SPECIFICATION:

Please amend the first sentence on page 1 of the specification to read as follows:

02/25/2002 SZENDIE1 00000002 09821473

01 FC:103

126.00 OP